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Circular Letter No.4254/Add.5 5 May 2021

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#### To: All IMO Members Intergovernmental organizations Non-governmental organizations in consultative status

#### Subject: Communication from the Government of the Republic of India

The Government of the Republic of India has sent the attached communications, dated 28 April and 1 May 2021, with the request that they be circulated by the Organization.

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### भारतसरकार/ GOVERNMENT OF INDIA पत्तन, पोत परिवहन और जलमार्ग मंत्रालय/ MINISTRY OF PORT, SHIPPING & WATERWAYS नौवहन महानिदेशालय, मुंबई DIRECTORATE GENERAL OF SHIPPING. MUMBAI

#### File No. 13-39/5/2020-ENGG-DGS

Date: 28.04.2021

#### DGS ORDER NO. 16 of 2021

#### Subject: Extension of Certificate of Competency and Certificate of Proficiency

- 1. Whereas the onset of COVID-19 pandemic in India, led to adoption of several precautionary measures, and one such measure was closure of Maritime Training Institutes (MTI), to protect and safeguard seafarer and associated people working in the MTI from getting infected from the virus.
- 2. Whereas the closure of MTIs led to issuance of following Orders/Circulars/Standard Operating Procedures (SOP) as and when the situation demanded for extension of Seafarer Certificate of Competency (COC) and Certificate of Proficiency (COP) so as to ensure seafarers are able to join ships with valid COC/COP for movement / transportation of cargo / people:
  - 2.1. DG Shipping Order No. 06 of 2020 dated 23.03.2020 extending validity of Seafarer COC/COP by one-month for those seafarer who were on- board ships on date of issue of this Order.
  - 2.2. Addendum No. 1 to DGS Order 6 of 2020 dated 03.04.2020 extending seafarer COC/COP expiring between 23 March and 1 October 2020 (both dates inclusive), for a period of 6 (six) months from the expiry date printed/typed on the relevant certificate (COC/COP).
  - 2.3. DGS Order 13 of 2020 dated 21 May 2020 extending the seafarer COC/COP expiring between 1<sup>st</sup> March and 31<sup>st</sup> December 2020 (both dates inclusive), for a period of 6 (six) months from the expiry date printed/typed on the relevant certificate (COC/COP).
  - 2.4. DGS Order 16 of 2020 dated 22.06.2020 extending the validity of COC/COP till 31 December 2021 of all those seafarers which were expiring on/prior to 31 December 2020 and have demonstrated continued professional competence through approved sea service.
  - 2.5. SOP dated 30.06.2020 for extending validity of COC/COP of all those seafarers who were joining ship prior to 31 October 2020 and their certificates were expiring after 31 December 2020.
- 3. Whereas the Directorate issued DGS Order 20 of 2020 dated 03.08.2020 for restarting the training of seafarer through a three-tier training methodology for conduct of mandatory revalidation courses without the seafarer visiting the MTI for revalidation of COP and revalidation of COC.
- 4. With the aforesaid re-start of training processes, the Directorate has restricted the extension granted to seafarer joining ship on/prior to 31<sup>st</sup> October 2020 to 31<sup>st</sup> August 2020.

- 5. Noting the problem faced by shipping companies to immediately get seafarer to get their COC/COP revalidated for limited period vide DGS Order 20 of 2020, the Directorate issued following Orders from time to time extending validity of COC/COP of seafarers:
  - 5.1. DGS Order 24 of 2020 dated 24.08.2020 relaxed the restriction imposed via DGS Order 16 of 2020, that is restriction of joining ship on/prior to 31<sup>st</sup> August 2020 for availing extension benefit, allowed to be extended from 31<sup>st</sup> August 2020 till 30 September 2020.
  - 5.2. DGS Order 26 of 2020 dated 30.09.2020 has further relaxed the above limitation on extension. Through this order Master and Officers could avail benefit of extension of COC granted under DGS Order 16 of 2020, if they join ship on/prior to 31 October 2020 and for rating this date of joining ship for availing extension was extended to 31 December 2020.
  - 5.3. DGS Order 33 of 2020 dated 03.11.2020 has further relaxed the above limitation on extension. Master and Officers could avail benefit of extension of COC granted under DGS Order 16 of 2020, if they join ship on/prior to 30 November 2020.
- 6. Whereas noting backlog of seafarer requiring extension, the Directorate issued DGS Order 01 of 2021 dated 01.01.2021 extending validity of COC/COP till 31.12.2021 of those seafarer who join ship on/prior to 31 March 2021 and have not availed any extension under any previous Order/SOP.
- Whereas the sudden surge in number of COVID-19 cases, due to its second wave, in all parts of India and corresponding travel ban and local restrictions, the seafarers finds it difficult to revalidate their COC/COP.
- 8. In consideration of the urgency and that the seafarers are required to man the ships to continue transportation of goods/people and that they need to be in possession of valid COC/COP, the Directorate hereby extends all Seafarer COC/COP till 31<sup>st</sup> December 2021 or one contract, whichever is later and irrespective of whether a seafarer has availed extension under any previous Order/SOP OR is ashore OR on board the ship at the time of issue of this Order subject to following conditions:
  - 8.1. Master and Officer who are ashore demonstrate continued professional competence on the date of issue of this Order by following way:
    - 8.1.1.Extension of COC/COP under Regulation II, III or VI of the STCW Convention except Dangerous Cargo Endorsements: Section A-I/11 of the STCW Convention -Professional Competence: Continued professional competence as required under regulation I/11 shall be established by: Approved seagoing service, performing functions appropriate to the certificate held, for a period of at least:
      - 8.1.1.1. Twelve months in total during the preceding five years, or
      - 8.1.1.2. Three months in total during the preceding six months immediately prior to revalidating;

- 8.1.1.3. Ship-owners & RPSL Companies shall verify aforesaid requirements as required vide STCW Regulation I/14, paragraph 1.1 prior employing the seafarer.
- 8.1.2. COP for Dangerous Cargo under Regulation V of the STCW Convention: Continued professional competence for tankers, as required under Regulation I/11, shall be established by:
  - 8.1.2.1. Approved seagoing service, performing the duties appropriate to the tanker certificate or endorsement held, for a period of at least three months in total during the preceding five years; or
  - 8.1.2.2. Successfully completing an approved relevant training course or courses.
- 8.2. There is no requirement for demonstration of Continued Professional Competency, as required under 8.1, for Ratings, Electro Technical Officers (ETO) and other petty officers such as pump man / Fitter, etc who have sailed on ships in last five years and for all those seafarers who are already on board ship to avail the benefit of extension under this Order.
- This Order is valid till 31<sup>st</sup> July 2021, that is, Seafarer joining ships on/prior to 31<sup>st</sup> July 2021 can avail of the benefit of extension under this Order.

(Amitabh Kumar) 28/4/2 Director General of Shipping



#### File No. 20-16/4/2020-TRG-DGS

Date 01.05.2021

#### DGS Order No. 17 of 2021

# Subject: Addendum-V to DGS Order No. 28 of 2020 dated 01.10.2020 with respect to conduct of maritime training course.

1. Whereas, vide DGS Order No. 20 of 2020 dated 04.08.2020, the Directorate General had permitted issuance of Certificates pertaining to Refresher Course in Personal Survival Techniques (Ref. PST), Refresher Course in Fire Prevention and Fire Fighting (Ref. FPFF), Refresher Course in Proficiency in Survival Craft and Rescue Boat other than Fast Rescue Boat (Ref. PSCRB), Refresher Course in Advanced Fire Fighting (Ref. AFF) and Refresher Course in Medical First Aid (Ref. MFA) for a period of 18-months (instead of regular 5-year validity pending completion of practical at MTI due to COVID-19) after completion of a Three-Tier Mechanism of Learning comprising of E-Learning, Virtual Classes/Live Video Sessions and successfully passing on an On-Line Exit Examination.

2. Whereas, vide DGS Order No. 28 of 2020 dated 01.10.2020, DGS OrderNo. 38 of 2020 dated 12.11.2020 and DGS Order No. 40 of 2020 dated 17.12.2020, the MTIs were allowed to conduct courses and to resume practicaltraining in a phased manner after compliance with necessary conditions detailed in Standard Operating Procedures (SoP) attached with

9वीं मंज़िल, बीटा बिल्डिंग, आई थिंक टेक्नो कैम्पस, कांज़ुर गाँव रोड, कांज़ुरमार्ग (पूर्व) मुंबई- 400042

9th Floor, BETA Building, I-Think Techno Campus, Kanjur Village Road, Kanjurmarg (E), Mumbai-400042 फ्रोन/Tel No.: +91-22-2575 2040/1/2/3 फ़ैक्स/Fax: +91-22-2575 2029/35 ई-मेल/Email: dgship-dgs@nic.in वेक्साइट/Website: www.dgshipping.gov.in DGS Order No. 28 of 2020 and with following controls:

2.1. The Conduct of Refresher Courses in AFF, FPFF, PST, PSCRB and MFA without conduct of any practical was discontinued.

2.2. Basic Safety Training (BST) Course comprising of PST, FPFF, EFA & PSSR was permitted and the CoP validity was restricted to 18-months pending completion of training requiring entry into water.

2.3. The Complete Courses for PSCRB and Medical Care was restricted to 18- Months after completion of all practical training except for those requiring entry into water and visit to hospital respectively.

3. Whereas, after the current pandemic situation the Directorate General has received representations from various stakeholders requesting permission to conduct maritime courses like Refresher Courses in AFF, FPFF, MFA, PST & PSCRB necessary for continued sea service of existing seafarers, for limited period without any practical as was permitted via DGS Order No. 20 of 2020.

4. Whereas, all MTIs have to strictly abide by any Orders/SoP/Guidelines issued by Government of India or the State Government/any concerned authority within the jurisdiction of which the Maritime Training Institute is located. The current wave of COVID-19 pandemic has caused movement restrictions of seafarers and inability of some MTIs in restricted zones to conduct practical's.

5. Whereas, the Directorate General on consideration of above situation, has decided to re-introduce 18 month certificates for certain courses and allow MTI's to conduct such courses in accordance with and in the manner prescribed in the **Annexure**attachedto this Order.

6. Whereas, necessary changes have been made in the e-Governance system. The course certificate for the courses specified in the **Annexure**has been divided into two parts i.e. Part 'A' and Part 'B'.

6.1 **Part 'A'**: The MTI's can generate Part 'A' course certificate for the specified courses in the **Annexure-I** with a validity of 18 months for those seafarers who have completed the course following three tier learning mechanism without practical's.

6.2 **Part 'B'**: The MTI's can generate Part 'B' course certificate with full validity to those seafarers who have acquired Part 'A' certificate as above and have completed practical at the MTI. On completion of practical, Part 'B' of the said Digital Certificate shall be issued.

6.3 This certificate will be issued with the same issue date and Certificate number as that of Part 'A' certificate. Both Part 'A' and Part 'B' certificate shall be available on Master Checker for verification.

6.4 MTIs under lockdown zone are not allowed to conduct practical required for Part 'B' certificate.

6.5 The SOP for uploading batch details for Part 'A' and Part 'B' Certificates is attached as Annexure-II.

6.6 It is clarified that even in those areas where there is no lockdown or any movement restriction and MTIs are able to conduct the specified courses with practical, the batch details have to be uploaded twice, once Part 'A' for theory and Part 'B' for practical for the courses specified in the Annexure-I.

7. Whereas, some seafarers may have completed Refresher courses in accordance with DGS Order No. 20 of 2020 dated 04.08.2020 from MTI located far-off instead of near-by their home-towns due to many reasons such as non-availability of seat, MTI not operational etc. The Directorate General has decided to facilitate these Seafarers by now permitting them to complete practical training required for Part 'B' of the course Certificates for Ref. FPFF, Ref. AFF, Ref.MFA, Ref. Medicare from any MTI approved for conduct of these refresher courses outside restricted zones and conducting practical's. On completion of practical, Part 'B' of the said Digital Certificate shall be issued by the MTI conducting the practical with a validity of five years. The Part 'B' Certificate will be having the same issue date and digital certificate number as the Part 'A'digital certificate. Both Part 'A' and Part 'B' shall be available on Master Checker for verification.

8. Whereas, due to the current phase of pandemic and resultant lockdown and restrictions many seafarers had joined the courses specified in DGS Order No. 40 of 2020 and completed their three tier learning mechanism for the approved courses, but were not able to complete the practical's due to lockdown in the area. In such cases, the MTIs are allowed to generate Part 'A' digital certificate with a validity of 18 months for the courses specified and in the manner prescribed in the **Annexure**.

9. Annexure to the DGS Order No. 40 of 2020 stands amended in view of the revisions made in the Annexure to this addendum.

wilth Amitabh Kumar 01 05 202

Director General of Shipping & Additional Secretary to the GOI

Sr. No.	Name of Course
1	Basic Safety Course
	Course ID: 6101
а	Personal Survival Techniques
b	Fire Prevention and Fire Fighting
С	Personal Safety and Social Responsibilities
đ	Elementary First Aid
2	Personal Survival Techniques
	Course ID: 6111
3	Fire Prevention and Fire Fighting
	Course ID: 6121
4	Proficiency in Survival Craft and Rescue Boat other than
	Fast Rescue Boat
	Course ID:6211
5	Proficiency in Fast Rescue Boat
	Course ID:6221
6	Advanced Fire Fighting
	Course ID:6311
7	Medical First Aid
	Course ID:6411
8	Medical Care
	Course ID:6421
9	Refresher in Personal Survival Techniques
	Course Id: 6112
10	Refresher in Proficiency in Survival Craft and Rescue Boat
	apart from Fast Rescue Boats
	Course Id: 6212
11	Refresher in Fire Prevention and Fire Fighting
	Course Id: 6122
12	Refresher in Advanced Fire Fighting
	Course Id: 6312
13	Refresher in Proficiency in Fast Rescue Boat
	Course ID:6222
14	Refresher & Updating Course in Medical First Aid
	Course ID:6412
15	Refresher& Updating Course in Medical Care
	Course ID:6422

#### ANNEXURE - II

#### SOP for

- 1. Submission of Batch details,
- 2. Generation of certificate number &
- 3. Conducting Practical's (Part 'B' of the course)
- 4. Process flow for E-Governance

#### 1. Submission of batch details

Step 1: Go to DGS website at www.dgshipping.gov.in

Step 2: Go to E-Governance tab and click on e-governance.

Step 3: Login with user id and password provided by DGS.

Step 4: Click on Training module link and go to Submit details tab.

Step 5: From the drop down list, click on Batch Details (New).

Step 6: Enter the data in the requisite fields.

Step 7: In the INDoS Number field, the INDoS numbers for all the candidates undertaking the particular maritime course must be entered. Each INDoS number shall be entered separated by a comma (,). The system shall not process the INDoS number which is not separated by a comma.

Step 8: Ensure that the entered data is correct in all respect and click on Continue.

Step 9: The system shall generate list giving personal details of the seafarer including photograph & signature against each INDoS numbers entered.

Step 10: Choose Name of course in charge from the drop down menu.

Step 11: Verify the details and click on Submit tab to submit the batch details.

Step 12: An acknowledgement will be generated on successful submission of the batch details.

#### 2. Generation of Certificate number by MTI

Step 1: Go to DGS website at www.dgshipping.gov.in

Step 2: Go to E-Governance tab and click on e-governance.

Step 3: Login with user id and password provided by DGS.

Step 4: Click on Training module link and go to Submit details tab.

Step 5: Click on Generate certificate number/Withdraw from batch link.

Step 6: Select from name of the course, Batch start date & Batch ID and click on View batch details.

Step 7: Batch details corresponding to the selected details will appear on the screen.

Step 8: Select Generate Certificate number or withdraw to generate the certificate number for each candidate and to withdraw in case the candidate's certificate number.

Step 9: Click on Update to save the data.

#### 3. Conducting Practical's (Part B)

# a. Steps to be followed before commencement of Part 'B' (Practical's)

Step 1: The Part 'B' of the course (Practical) may be done by the same MTI where Part 'A' of the course was done or any other DGS approved MTI, provided the candidate has successfully completed Part 'A' of the course.

Step 2: MTI login into the e-Governance system.

Step 3: Clicks on Training.

Step 4: Clicks on Update details for Part 'B' (Practical's)

Step 5: Enters INDoS number of the candidates, Selects Course Name and fetches the details.

Step 6: The system displays the details of the course (Part 'A') which was done with validity of 18 months.

Step 7: MTI enters Part 'B' (Practical's) details like start date & end date.

Step 8: MTI selects the Course In-charge for Part 'B' (Practicals)

Step 9: MTI submits the data.

#### b. Steps to be followed after completion of Part 'B' (Practicals)

Step 10: MTI confirms completion of Part 'B' (Practical's) by fetching the details submitted in step 9.

Step 11: MTI generates e-Certificate as per existing procedure after appending the digital signatures of the course in charge and the principal.

Step 12: The e-Certificate is generated with 5 years of validity from date of issue of previous e-Certificate.

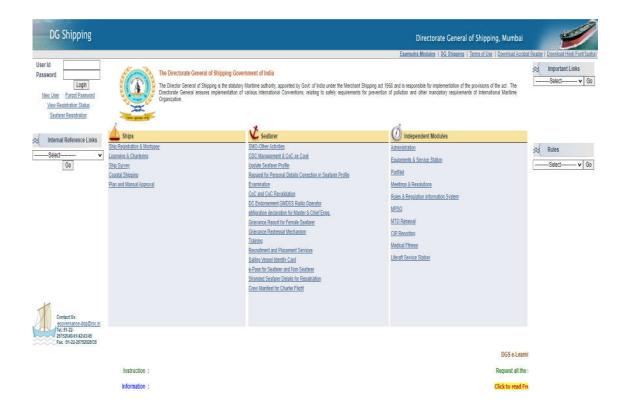
Step 13: The e-Certificate is generated with the same certificate number as that of previous e-Certificate issued for Part 'A'.

Step 14: The latest e-Certificate is issued with a note stating "this certificate supersedes the certificate issued by the institute XXXXX with validity of 18 months bearing the same certificate number"

Step 15: Both the e-Certificates will be available to view wherever required.

#### 4. Process flow for course certificate – Practical

a. Login to eGovernance system of DGS & Click on Training module.



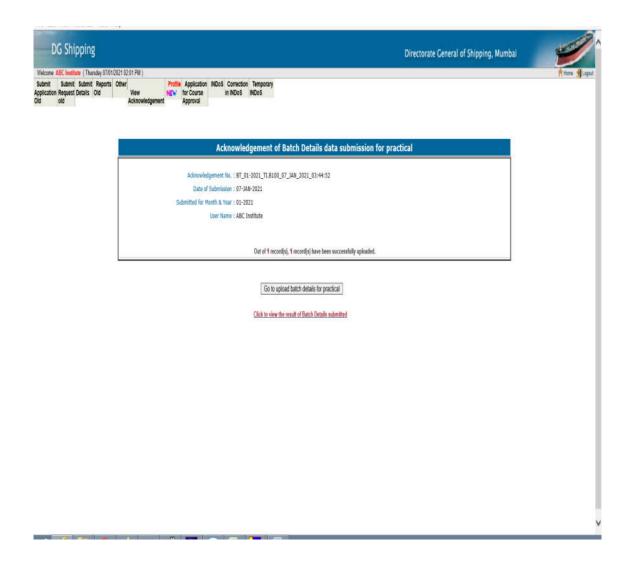
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c. Eligible candidate details will appear for submisison of data against INDoS. Select Eligibility , Course in charge & click on submit.

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	CDC No. :	Admission Taken for*: Basic Safety Training		Name of MTL* : ABC Institute	
1	Course Start Date*: 01-DEC-2020	Course End Date*: 03-DEC-2020	Certificate No.*: 10016101200044	Certificate Issue Date* : 03-DEC-2020	Certificate Expiry Date* : 02-JUN-2022
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d. Batch Data is submitted with acknowledgement details.



e. After practical is successfully completed, Click on "Update Attendance" & enter details & click on View batch details.

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		Update Batch Details	
		Instructions	
	1. Select name of course, Practical Batch start date and Batch ID & Click on "View Batch	Details*.	
	2. The details corresponding to the batch will be populated.		
	Search Batch Details for Practical		
	Search Batch Details for Practical Name of the Course : * Select>	V	

f. Click on check box to certify the attendance & click on "Update attendance"

Name of the Course for Practical ** Basic Safety Training       Image: Safety Training Practical Batch Start Date **       Basic Safety Training Practical Batch End Date **       11.JAN-2021         Basic Safety Training Practical Batch End Date **       11.JAN-2021       Batch       Batch         Back       Batch       Batch       Batch       Batch         Back       Batch       Batch       Batch       Batch         Batch       Batch       Batch       Batch       Batch         Batch       Batch       Batch       Batch       Batch         Str. No.       Name of Course       Coadidate Name       Mbols Ne.       Oper of Sinth Date       Course End Date       Attendance Entered By Course Enclarge         Str. No.       Name of Course       Coadidate Name       Mbols Ne.       Oper of Sinth       Course End Date       Attendance Entered By Course Enclarge       Attendance Entered By Course Enclarge         Course Enclarge Details       SR_M0001       11-JAU-2021       Image: Sinth Date       Image: Sinth Date         Image: Sint Bate Course Enclarge Petails       SR_M0001       11-JAU-2021       Image: Sinth Date       Image: Sinth Date         Image: Sint Bate Attendance       Sinth Date       Sinth Date       Image: Sinth Date       Image: Sinth Date         Image: Sint Bate Attendance </th <th>Basic Safety Training Practical Batch Start Date :* [05-JM4-2021] Basic Safety Training Practical Batch End Date :* [11_JM4-2021] Back Back Back Sr. No. Name of Course Considerent Name In Date of Surity Course Estart Date (00-HOM YYYY) I. Basic Safety Training Kumar Rakesh SR_10001 11-AUG-1981 06-JM4-2021 11-JM4-2021 Yes V Course Endarge Datals Course Incharge Datals</th> <th>Search Bar</th> <th>tch Details for Practical</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>	Basic Safety Training Practical Batch Start Date :* [05-JM4-2021] Basic Safety Training Practical Batch End Date :* [11_JM4-2021] Back Back Back Sr. No. Name of Course Considerent Name In Date of Surity Course Estart Date (00-HOM YYYY) I. Basic Safety Training Kumar Rakesh SR_10001 11-AUG-1981 06-JM4-2021 11-JM4-2021 Yes V Course Endarge Datals Course Incharge Datals	Search Bar	tch Details for Practical							
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g. Select Certificate generation from the drop down, enter details & click on "View Batch details"

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ubmit Submit Submit Repor plication Request Details Old Old bmit Details • Generate Certificate	View NEW for Course in INDoS Acknowledgement Approval			
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		Instructions		
1.	Select name of course for pratical, Batch start date and Batch ID 8	& Click on "View Batch Details".		
2.	The details corresponding to the batch will be populated.			
3.	Select the option "Withdraw" from the dropdown if the candidate h	ias withdrawn from the course and click on update.		
4.	Select the option "Generate certificate number" from the dropdown	n to generated certificate number for candidates who have sur	cessfully completed the course and click on update.	
5	arch Batch Details for Practical			
	Name of the Course : Basic Safety Tra	aning 🔽		
	Batch Start Date : 08-JAN-2021	2	Batch IDNo.: * 001 🔽	

h. Select "Generate Certificate" from the drop down and click on "Update"

Shipping						Directorate General of Ship	pping, Mumbai 🦷
IC Institute (Thursday	07/01/2021 02:01 PM (						1
Submit Submit Rep lequest Details Old id is • Update Batch Det	View Acknowledgement	Profile Application	n INDoS Correcti in INDoS	ion Temporary INDoS			
					Update Batch Details		
					Instructions		
1. Select name of	course, Batch start date and Bat	tch ID & Click on "	/iew Batch Details".				
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<ol> <li>Select the option</li> <li>Select the option</li> </ol>	n "Withdraw" from the dropdow n "Generate certificate number"	from the dropdow	n to generated certi	ficate number for can	didates who have successfully completed the cou	rse and click on update.	
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<ol> <li>Select the option</li> <li>Select the option</li> <li>The same certification</li> <li>Search Practical Bate</li> </ol>	n "Withdraw" from the dropdow n "Generate certificate number" cate number issued from system h Details Name of	from the dropdow m shall be mention the Course : * Bas	n to generated certi ed in the certificate c Safety Training	ficate number for can	didates who have successfully completed the cou		
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Back Update

## i. Certificate number is generated.

DG Shipping		Directorate General of Shipping, Mumbai
Welcome ABC Institute (Thursday 07/01/2021 02:01 PM )		Atore gluopa
Submit Submit Reports Other Ipplication Request Details Old View Did old Acknowledgeme Submit Details - Update Batch Details	Profile Application INDOS Correction Temporary MEV for Course in INDOS INDOS t Approval	

			Update Batch Details		
			Instructions		
elect name of	course, Batch start date and Batch ID (	& Click on "View Batch Details".			
ie details corr	responding to the batch will be populate	d.			
lect the optio	in "Withdraw" from the dropdown if the	candidate has withdrawn from the course and click o	n update.		
lect the optic	n "Generate certificate number" from t	he dropdown to generated certificate number for can	lidates who have successfully completed the cours	se and click on update.	
ie same certif	icate number issued from system shall	be mentioned in the certificate issued to the candidat	e by the Institute.		
h Practical Bat	ch Details				
	Name of the Cou	urse : * Basic Safety Training	v		
Ba	usic Safety Training Practical Batch Start D	late : * 08/01/2021		Batch IDINo. : * 001	
		Details of students ha	ving 18 months validity certificate fo	or said course	
. No.:1.	INDoS No.*: SR_N0001	Details of students hav Candidate Name*: Kumar Rakesh	ving 18 months validity certificate fo Date of Birth*: 11.AUG-1981	o <mark>r said course</mark> Email Id*: SDADASD@ASDD.COM	Nobile No.* : 1231313131
.No.:1.	INDoS No.*: SR_N0001 CDC No.:	Martin Status			Mobile No.*: 1231313131
.Na.:1.	1.5	Candidate Name*: Kumar Rakesh		Email Id* : SDADASDIQASDD.COM Name of MTI* : ABC Institute	Mobile No.*: 1231313131 ertificate Expiry Date*: 02.JUN-202
No.1.	CDC No. :	Candidate Name* : Kumar Rakesh Admission Taken for* : Basic Safety Training	Date of Birth*: 11.4UG-1881	Email Id* : SDADASDIQASDD.COM Name of MTI* : ABC Institute	ertificate Expiry Date* : 02-JUN-202

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